



Substitute for form 1449A/PTO  
(Revised 10/2001)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number 09/812,452  
Filing Date 03/20/2001  
First Named Inventor Spear  
Group Art Unit 2164  
Examiner Name  
Attorney Docket Number 18360/205526

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>ckk</i>	21	US-4,727,243	02/23/1988	Savar	
<i>ckk</i>	22	US-6,032,136	02/29/2000	Brake, Jr. et al.	
<i>ckk</i>	23	US-6,169,974	01/02/2001	Baumgartner et al.	
<i>ckk</i>	24	US-6,422,462	07/23/2002	Cohen	
<i>ckk</i>	25	US-2002/0107731	08/08/2002	Teng	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
<i>ckk</i>	26	WO 02/23431 A1	03/21/2002	Capital One Financial		

## OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

Examiner Signature	<i>Cham R/K</i>	Date Considered	<i>11/10/06</i>
--------------------	-----------------	-----------------	-----------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
MAR 20 2002  
Technology Center 2100

CLT01/4520932v1

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup> Applicant is to place an "X" here if English language Translation is attached.

Substitute for form 1449/PTO  
(Revised 04/2003)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/812,452
Filing Date	03/20/2001
First Named Inventor	Spear
Group Art Unit	2164
Examiner Name	
Attorney Docket Number	018360/205526

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached

**OTHER DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
<i>CH/c</i>	27	<i>Big Brown's Big Coup; Moving Marketing Streamlining, Moving the Goods, Customer Service Management Pro; Business Week E.Biz, pgs. 76-77, September 18, 2000.</i>	

Examiner  
Signature

*Chen*

Date  
Considered

*11/10/06*

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

JUN 5 2001

**Complete if Known**

Application Number 09/812,452

Filing Date 03/20/01

First Named Inventor Spear

Group Art Unit 2164

Examiner Name

Attorney Docket Number 18360/205526

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
CRK	1	5,715,298		Rogers	02/03/1998	
CRK	2	5,732,136		Murphree, et al.	03/24/1998	
CRK	3	5,745,554		Rozetti	04/28/1998	
CRK	4	5,883,810		Franklin, et al.	03/16/1999	
CRK	5	5,903,877		Berkowitz, et al.	05/11/1999	
CRK	6	6,000,832		Franklin, et al.	12/14/1999	
CRK	7	6,032,136		Brake, Jr., et al.	02/29/2000	
CRK	8	6,065,675		Teicher	05/23/2000	
CRK	9	6,088,682		Burke	07/11/2000	
CRK	10	6,092,057		Zimmerman, et al.	07/18/2000	
CRK	11	6,105,863		Jagadish, et al.	08/22/2000	
CRK	12	6,126,069		Stiefel, et al.	10/03/2000	
CRK	13	6,138,911		Fredregill, et al.	10/31/2000	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup>	Kind Code (if known) <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner  
Signature

Charles R. R.

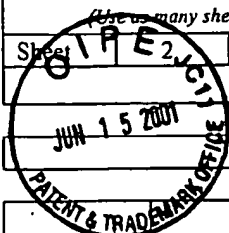
Date  
Considered

1/10/06

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.<sup>2</sup> See attached Kinds of U.S. Patent Documents.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup> Applicant is to place an "X" here if English language Translation is attached.

Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	09/812,452
		Filing Date	03/20/01
		First Named Inventor	Spear
		Group Art Unit	2164
		Examiner Name	
Sheet 2 of 2	Attorney Docket Number	18360/205526	



### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published.	T
CRH	14	AmEx-Costco Co-brand: Is It A Marketing Or Merchant Acceptance Strategy; Defense Daily, 14,16, NA; August 25, 1999	
CRH	15	PETER LUCAS; AmEx Plays Its Cobranded Cards; Credit Card Management, V10, N11, P26(1); February 1998	
CRH	16	KATHERINE MORRALL; Marketing Credit Cards: Offers You Can't Refuse; Bank Marketing, V26, N9, PP37-32; September 1994	
CRH	17	Virtual Express Systems; www.shoppingexpress.net/realtimecreditcards/Pricing; printed January 9, 2001	
CRH	18	American Express - Costco Platinum Cash Rebate Card; www.americanexpress.com/sif/cda/page/0,1641,3368,00.asp; printed January 12, 2001	
CRH	19	Mallpark - The Source for Merchant Business Solutions; www.mallpark.com/MerchSvc00.cfm; printed January 12, 2001	

Examiner Signature	<i>Charles JC</i>	Date Considered	1/10/01
--------------------	-------------------	-----------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.